

Attorney's Docket No. 042933/299022

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Riis et al. Confirmation No.: 7485  
Appl. No.: 09/942,609 Group Art Unit: 2655  
Filed: August 31, 2001 Examiner: B. L. Albertalli  
For: MULTILINGUAL SPEECH RECOGNITION SYSTEM USING TEXT  
DERIVED RECOGNITION MODELS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(c)**

Sir:

Attached is a list of documents on form PTO-1449 along with a copy of the non-patent literature documents in accordance with 37 CFR 1.98(a)(2).

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. § 1.97(c), before final Office Action or Allowance, whichever is earlier.

A check for the \$180.00 fee specified in 37 C.F.R. § 1.17(p) is enclosed. The Commissioner is authorized to charge any additional fee, or credit any refund, to our Deposit Account No. 16-0605.

Respectfully submitted,

Christopher J. Gegg  
Registration No. 50,857

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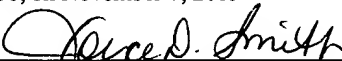
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\_\_\_\_\_  
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Substitute for form 1449/PTO  
(Revised 07/2005)

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

## **Complete if Known**

|                        |                  |
|------------------------|------------------|
| Application Number     | 09/942,609       |
| Filing Date            | August 31, 2001  |
| First Named Inventor   | Riis et al.      |
| Group Art Unit         | 2655             |
| Examiner Name          | B. L. Albertalli |
| Attorney Docket Number | 042933/299022    |

## **U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. | Document Number<br>Number - Kind Code (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages of Relevant Figures<br>Appear |
|--------------------|----------|--|--------------------------------|--|---|
|                    |          | US-  |                                |  |   |
|                    |          | US-  |                                |  |   |
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## **FOREIGN PATENT DOCUMENTS**

| Examiner Initials | Cite No. | Foreign Patent Document<br>Country Code - Number Kind Code<br>(if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | English<br>Language<br>Translation<br>Attached |
|-------------------|----------|--|--------------------------------|---|--|--|
|                   |          |  |                                |   |  |  |
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## **OTHER DOCUMENTS**

| Examiner Initials* | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | English Language<br>Translation Attached |
|--------------------|----------|---|--|
|                    | 1        | <i>An Advanced System to Generate Pronunciations of Proper Nouns</i> , N. Deshmukh et al.; Acoustics, IEEE International Conference, Munich, Germany, April 1997, 5 pages   |  |
|                    | 2        | <i>Pronunciation and Acoustic Model Adaptation for Improving Multilingual Speech Recognition</i> , J. Tian et al.; ITRW on Adaptation Methods for Speech Recognition, August 2001   |  |
|                    |          |   |  |

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|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. #4761097v1